



Municipal Securities Rulemaking Board

## 2009 Filings with the SEC

### **SR-MSRB-2009-18 (December 4, 2009)**

Proposed Rule Change to Rule G-37, on Political Contributions and Prohibitions on Municipal Securities Business, and Rule G-8, on Books and Records, Regarding Disclosure of Contributions to Bond Ballot Campaigns

### **SR-MSRB-2009-17 (November 18, 2009)**

Proposed Rule Change Consisting of Amendments to Rules G-8, G-9 and G-11, a Proposed Interpretation of Rule G-17 Regarding Priority of Orders, and the Deletion of a Previous Rule G-17 Interpretive Notice

#### **Amendment No. 1 (August 4, 2010)**

### **SR-MSRB-2009-16 (November 5, 2009)**

New Rule A-16, on Examination Fees

### **SR-MSRB-2009-15 (September 30, 2009)**

Proposed Rule Change to Rule A-13, on Underwriting Assessments, and Rule G-32, on Disclosures in Connection with Primary Offerings

### **SR-MSRB-2009-14 (September 15, 2009)**

Interpretive Notice on Use of Electronic Confirmations Produced By a Clearing Agency or Qualified Vendor to Satisfy the Requirements of Rule G-15(a)

### **SR-MSRB-2009-13 (August 13, 2009)**

Proposed Rule Change to Rule A-14, on Annual Fee, to Increase the Annual Fee Paid by Dealers

### **SR-MSRB-2009-12 (August 6, 2009)**

Proposed Rule Change to Rule G-11(i) (Settlement of Syndicate or Similar Account), Rule G-11(j) (Payment of Designations), and Rule G-12(i) (Settlement of Joint or Similar Account)

### **SR-MSRB-2009-11 (July 29, 2009)**

Proposed Rule Change to Rules A-3, on Membership on the Board, A-4, on Meetings of the Board, A-5, on Officers and Employees of the Board, and A-6, on Committees of the Board

### **SR-MSRB-2009-10 (July 14, 2009)**

Proposed Rule Change Relating to Additional Voluntary Submissions by Issuers to the MSRB's Electronic Municipal Market Access System (EMMA®)

#### **Amendment No. 1 (December 18, 2009)**

#### **Amendment No. 2 (May 21, 2010)**

### **SR-MSRB-2009-09 (July 14, 2009)**

Proposed Rule Change Relating to Rule G-32, on Disclosures in Connection with Primary Offerings, Form G-32, and the Primary Market Disclosure and Primary Market Subscription Services of the MSRB's Electronic Municipal Market Access System (EMMA®)

#### **Amendment No. 1 (December 18, 2009)**

**SR-MSRB-2009-08 (July 14, 2009)**

Interpretive Guidance on Disclosure and Other Sales Practice Obligations of Brokers, Dealers and Municipal Securities Dealers Relating to Sales of Municipal Securities to Individual and Other Retail Investors

**SR-MSRB-2009-07 (May 29, 2009)**

Proposed Rule Change Relating to the Termination of the CDINet System

**SR-MSRB-2009-06 (April 28, 2009)**

Proposed Rule Change to Rule G-8, on Books and Records, to Require Dealers to Maintain Certain Records Relating to Auction Rate Securities and Variable Rate Demand Obligations

**SR-MSRB-2009-05 (April 22, 2009)**

Proposed Rule Change to Establish a Real-Time Subscription to the Document Collection of the Continuing Disclosure Service of the MSRB's Electronic Municipal Market Access System (EMMA®)

**SR-MSRB-2009-04 (April 14, 2009)**

Proposed Rule Change Relating to the Voluntary Submission of Continuing Disclosure Documents to the MSRB's Upcoming Continuing Disclosure Service of the Electronic Municipal Market Access System (EMMA®)

**SR-MSRB-2009-03 (March 25, 2009)**

Proposed Rule Change to Establish a Pilot Phase of the Continuing Disclosure Service of MSRB's Electronic Municipal Market Access System (EMMA®)

**SR-MSRB-2009-02 (March 23, 2009)**

Proposed Rule Change to Implement an Electronic System for Free Public Access to Primary Market Disclosure Documents and Transaction Price Information for the Municipal Securities Market through the MSRB's Electronic Municipal Market Access System (EMMA®)

**SR-MSRB-2009-01 (March 18, 2009)**

Proposed Rule Change to Extend the MSRB's Pilot of its Electronic Municipal Market Access System (EMMA®)